

Form PTO 1390 (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER <b>P50892</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED / ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>09/889,344</b>
INTERNATIONAL APPLICATION NO. <b>PCT/US00/01481</b>	INTERNATIONAL FILING DATE <b>22 January 2000</b>	PRIORITY DATE CLAIMED <b>22 January 1999</b>		
TITLE OF INVENTION <b>METHOD OF SITE SPECIFIC LABELING OF PROTEINS AND USES THEREFOR</b>				
APPLICANT(S) FOR DO/EO/US <b>Wenfang CHEN, Thomas D. MEEK, David J. POWELL, and David G. TEW</b>				

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4.  A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  has been transmitted by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are transmitted herewith (required only if not transmitted by the International Bureau).
  - b.  have been transmitted by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11.  An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
14.  A **SECOND** or **SUBSEQUENT** preliminary amendment.
15.  Please amend the specification by inserting before the first line the sentence: This is a 371 of International Application PCT/EP96/05045, filed November 15, 1996.
16.  A substitute specification.
17.  A change of power of attorney and/or address letter.
18.  An Abstract on a separate sheet of paper.
19.  Other items or information: Copy of Form PCT/DO/EO/905;  
Communication

US APPLICATION NO. (if known see 37 CFR 1.50) 09/889,344	INTERNATIONAL APPLICATION NO. PCT/US00/01481	ATTORNEYS DOCKET NO. P50892										
20. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY										
<table> <tr> <td>(<input type="checkbox"/> one month extension.....</td> <td>\$ 110</td> </tr> <tr> <td>(<input type="checkbox"/> two months extension.....</td> <td>\$ 400</td> </tr> <tr> <td>(<input type="checkbox"/> three months extension.....</td> <td>\$ 920</td> </tr> <tr> <td>(<input type="checkbox"/> four months extension (not beyond statutory time period).....</td> <td>\$1,440</td> </tr> <tr> <td>(<input type="checkbox"/> fifth month extension.....</td> <td>\$1,960</td> </tr> </table>		( <input type="checkbox"/> one month extension.....	\$ 110	( <input type="checkbox"/> two months extension.....	\$ 400	( <input type="checkbox"/> three months extension.....	\$ 920	( <input type="checkbox"/> four months extension (not beyond statutory time period).....	\$1,440	( <input type="checkbox"/> fifth month extension.....	\$1,960	
( <input type="checkbox"/> one month extension.....	\$ 110											
( <input type="checkbox"/> two months extension.....	\$ 400											
( <input type="checkbox"/> three months extension.....	\$ 920											
( <input type="checkbox"/> four months extension (not beyond statutory time period).....	\$1,440											
( <input type="checkbox"/> fifth month extension.....	\$1,960											
ENTER APPROPRIATE BASIC FEE AMOUNT =		\$0.00										
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than o 20 o 30 months from the earliest claimed priority date (37 CFR 1.492(e)).		\$0.00										
Claims	Number Filed	Number Extra	Rate									
Total claims	- 20 =	0	0 x \$18.00	\$0.00								
Independent claims	- 3 =	0	0 x \$84.00	\$0.00								
Multiple dependent claims (if applicable)		+ \$280.00		\$0.00								
TOTAL OF ABOVE CALCULATIONS =		\$0.00										
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).		\$										
SUBTOTAL =		\$0.00										
Processing fee of <b>\$130.00</b> for furnishing the English translation later than o 20 o 30 months from the earliest claimed priority date (37 CFR 1.492(f)) +		\$										
TOTAL NATIONAL FEE =		\$0.00										
		Amount to be refunded	\$									
		charged	\$									

- a.  A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b.  Please charge my Deposit Account No. 19-2570 in the amount of **\$0.00** to cover the above fees. A duplicate copy of this sheet is enclosed.
- c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2570. A duplicate copy of this sheet is enclosed.
- d.  General authorization to charge any and all fees under 37 CFR 1.16 or 1.17, including petitions for extension of time relating to this application. (37 CFR 1.136 (a)(3)).

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:  
**GLAXOSMITHKLINE**  
 Corporate Intellectual Property - UW2220  
 P.O. Box 1539  
 King of Prussia, PA 19406-0939  
 Phone (610) 270-4478  
 Facsimile (610) 270-5090

  
 SIGNATURE  
 Edward R. Gimmi  
 NAME  
 38,891  
 REGISTRATION NO.

**"EXPRESS MAIL CERTIFICATE"**  
"EXPRESS MAIL" MAILING LABEL NUMBER EL 737 870 256 US  
DATE OF DEPOSIT 04 December 2001

Attorney Docket No. P50892

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Chen, *et al.*

04 December 2001

Serial No.: 09/889,344

Group Art Unit No.: Unknown

Filed: July 16, 2001

Examiner: Unknown

For: METHOD OF SITE SPECIFIC LABELING OF PROTEINS AND  
USES THEREOF

Assistant Commissioner of Patents  
Washington, D.C. 20231

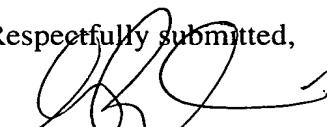
**COMMUNICATION**

This Communication is in response to the Notification of Missing Requirements dated October 4, 2001, wherein a corrected Substitute Sequence Listing was requested in order to comply with the Raw Sequence Listing Error Report. Deborah Pishock, Administrative Assistant to the undersigned, contacted Examiner Barbara A. Campbell on November 9, 2001 and indicated that the Raw Sequence Error Report was not attached to the Notification of Missing Requirements. Examiner Campbell retrieved the file and indicated that the Raw Sequence Error Report was not, in fact, attached to the Notification, and a new Notification of Missing Requirements would be sent out to Applicants. As of this date, Applicants have not yet received the new Notification of Missing Requirements. Accordingly, Applicants contend that this response is a full and complete response to the Notification of Missing Requirements dated October 4, 2001.

Serial No.: 09/889,344  
Group Art Unit No.: Unknown

If it would expedite the prosecution of this application, the Examiner  
is invited to confer with the Applicants' undersigned attorney.

Respectfully submitted,

  
Edward R. Gimmi  
Agent for Applicants  
Registration No. 38,891

GLAXOSMITHKLINE  
Corporate Intellectual Property - UW2220  
P.O. Box 1539  
King of Prussia, PA 19406-0939  
Phone (610) 270-4478  
Facsimile (610) 270-5090  
N:\ERG\APPS\P50892\usnat\Communication.DOC

PATENT  
ATTORNEY'S DOCKET NUMBER P50892

TRANSMITTAL LETTER TO THE U.S. DESIGNATED/ELECTED OFFICE  
(DO/EO/US) – CONCERNING A FILING UNDER 35 U.S.C 371

---

INTERNATIONAL APP. NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED  
PCT/US00/01481 20 January 2000 22 January 1999

---

TITLE OF INVENTION

METHOD OF SITE SPECIFIC LABELING OF PROTEINS AND USES THEREFOR

---

APPLICANT(S) FOR DO/US

Wenfang CHEN, Thomas D. MEEK, David J. POWELL, and David G. TEW

---

Box PCT  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202  
ATTENTION: DO/US

---

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this Transmittal Letter, Form PTO 1390 and the papers indicated as being transmitted therewith, and Post Card are being deposited with the United States Postal Service on this date 04 December 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number **EL 737 870 256 US** addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Elsa Matos  
(Typed or printed name of person mailing paper)

Elsa Matos  
(Signature of person mailing paper)



20462

Continuation for PCT, United States Patent and Trademark Office, Washington, D.C. 20231, www.uspto.gov		
--------------------------------------------------------------------------------------------------------	--	--

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/889,344	Wenfang Chen	P50892
INTERNATIONAL APPLICATION NO.		
PCT/US00/01481		
I.A. FILING DATE		PRIORITY DATE
01/20/2000		01/22/1999
<p>20462  <b>SMITHKLINE BEECHAM CORPORATION</b>          CORPORATE INTELLECTUAL PROPERTY-US, UW2220          P. O. BOX 1539          KING OF PRUSSIA, PA 19406-0939</p>		
<b>CONFIRMATION NO. 2762</b> <b>371 FORMALITIES LETTER</b>  *OC000000006850465*		

Date Mailed: 10/04/2001

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Request for immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason (s):
  - See Raw Sequence Listing Error Report
  - **APPLICANT MUST PROVIDE:**
    - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
  - For Rules Interpretation, call (703) 308-4216
  - To Purchase PatentIn Software, call (703) 306-2600
  - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

BARBARA A CAMPBELL

---

Telephone: (703) 305-3631

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/889,344	PCT/US00/01481	P50892